# Towards explicit realizations of the Sato-Tate groups of abelian threefolds 

Kiran S. Kedlaya joint work (in progress) with Francesc Fité and Andrew V. Sutherland<br>Department of Mathematics, University of California, San Diego kedlaya@ucsd.edu<br>http://kskedlaya.org/slides/

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(2) Sato-Tate groups of abelian surfaces and threefolds
(3) Realization by abelian threefolds
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(5) Realization by Jacobians: automorphisms
(6) Possible obstructions to going further

## Zeta functions of algebraic varieties

For $X$ an algebraic variety over a finite field $\mathbb{F}_{q}$, the zeta function of $X$ is

$$
Z(X, T)=\prod_{x \in X^{\circ}}\left(1-T^{\operatorname{deg}\left(x / \mathbb{F}_{q}\right)}\right)^{-1}=\exp \left(\sum_{n=1}^{\infty} \frac{T^{n}}{n} \# X\left(\mathbb{F}_{q^{n}}\right)\right)
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where $X^{\circ}$ denotes the closed points of $X$ (i.e., Galois orbits of $\overline{\mathbb{F}}_{q}$-points). For $X$ smooth proper over $\mathbb{F}_{q}$, we have

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Z(X, T)=\frac{P_{1}(T) \cdots P_{2 g-1}(T)}{P_{0}(T) \cdots P_{2 g}(T)}
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- $P_{i}(T)$ has integer coefficients and its constant term is 1 .
- The roots of $P_{i}(T)$ in $\mathbb{C}$ all lie on the circle $|T|=q^{-i / 2}$.


## Curves and abelian varieties

When $X$ is a (smooth, proper, geometrically integral) curve of genus $g$,

$$
P_{0}(T)=1-T, \quad P_{2}(T)=1-q T
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$P_{1}(T)$ is of degree $2 g$, and $P_{1}\left(q^{-1 / 2} T\right)$ is palindromic.

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When $X$ is an abelian variety of dimension $g, P_{1}(T)$ is of degree $2 g$, $P_{1}\left(q^{-1 / 2} T\right)$ is palindromic, and $P_{i}(T)=\wedge^{i} P_{1}(T)$. That is, if $P_{1}$ has roots $\alpha_{1}, \ldots, \alpha_{2 g}$, then $P_{i}$ has roots

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The values of $P_{1}$ for a curve and its Jacobian coincide.

## L-functions

For $A$ an abelian variety over a number field $K$ with ring of integers $\mathfrak{o}_{K}$, its (incomplete) L-function is the Dirichlet series

$$
L(A, s)=\prod_{\mathfrak{p}} L_{\mathfrak{p}}\left(\operatorname{Norm}(\mathfrak{p})^{-s}\right)^{-1}
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where $\mathfrak{p}$ runs over prime ideals of $\mathfrak{o}_{K}$ at which $A$ has good reduction, $\operatorname{Norm}(\mathfrak{p})=\#\left(\mathfrak{o}_{K} / \mathfrak{p}\right)$ is the absolute norm, and $L_{\mathfrak{p}}(T)$ is the factor $P_{1}(T)$ of the zeta function of the reduction of $A$ modulo $\mathfrak{p}$.

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For example, if $A$ is an elliptic curve over $\mathbb{Q}$, this is the usual expression

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In general, $L(A, s)$ converges absolutely for $\operatorname{Re}(s)>3 / 2$ but is expected to admit a meromorphic continuation to $\mathbb{C}$.

## Distribution of Euler factors

With the functional equation in mind, we renormalize the $L$-polynomials:

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\bar{L}_{\mathfrak{p}}(T)=L_{\mathfrak{p}}\left(\operatorname{Norm}(\mathfrak{p})^{-1 / 2} T\right)=1+a_{1} T+\cdots+a_{2 g-1} T^{2 g-1}+T^{2 g}
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This polynomial is determined by the point $\left(a_{1}, \ldots, a_{g}\right)$ which lies in a bounded region of $\mathbb{R}^{g}$. It is natural to ask whether these points admit a limiting distribution as $\mathfrak{p}$ varies, and if so what this can be.

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- one when $E$ has CM defined over $K$ (matrices in $U(1)$ );
- one when $E$ has CM not defined over $K$ (matrices in $N(U(1))$;
- one when $E$ does not have CM (matrices in SU(2)). For illustrations, see https://math.mit.edu/~drew.


## The Sato-Tate group of an abelian variety

Assume the Mumford-Tate conjecture* for $A$. Then there is a natural (but elaborate) construction of a compact Lie group $\mathrm{ST}(A)$ contained in $\operatorname{USp}(2 g)$ and, for each $\mathfrak{p}$, a conjugacy class $\operatorname{Frob}_{\mathfrak{p}}$ in $\mathrm{ST}(A)$ with charpoly $\bar{L}_{p}(T)$. The generalized Sato-Tate conjecture is that the Frob ${ }_{p}$ are equidistributed with respect to (the image of) Haar measure.

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This reduces to a statement about analytic continuation of the $L$-functions associated to irreducible representations of $\mathrm{ST}(A)$. This is known in certain cases, but we do not discuss this point here.

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For $\operatorname{dim}(A) \leq 3, \mathrm{ST}(A)$ can be computed from the data of the $\mathbb{R}$-algebra $\operatorname{End}\left(A_{\overline{\mathbb{Q}}}\right)_{\mathbb{R}}:=\operatorname{End}\left(A_{\overline{\mathbb{Q}}}\right) \otimes_{\mathbb{Z}} \mathbb{R}$ and its $G_{\mathbb{Q}}$-action. This data can in principle be computed rigorously (Costa-Mascot-Sijsling-Voight).

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## The connected and finite parts of the Sato-Tate group

There is a canonical exact sequence

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1 \rightarrow \mathrm{ST}(A)^{\circ} \rightarrow \mathrm{ST}(A) \rightarrow \pi_{0}(\mathrm{ST}(A)) \rightarrow 1
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The group $\pi_{0}(\mathrm{ST}(A))$ is the Galois group of a certain finite extension $L / K$. For $\operatorname{dim}(A) \leq 3, L$ is the endomorphism field of $A$ : the minimal extension for which $\operatorname{End}\left(A_{L}\right)=\operatorname{End}\left(A_{\overline{\mathbb{Q}}}\right)$.

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For example, if $\operatorname{dim}(A)=1$ and $A$ has $C M$ by a quadratic field $M$ not in $K$, then $L=M K$ and $\mathrm{ST}(A) / \mathrm{ST}(A)^{\circ}=N(\mathrm{U}(1)) / \mathrm{U}(1) \cong \mathrm{Gal}(M K / K)$.

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## The case of surfaces

Theorem (Fité-K-Rotger-Sutherland, 2012)
There are 52 conjugacy classes of closed subgroups of USp(4) which occur as $\mathrm{ST}(A)$ for some abelian surface $A$ over some number field $K$.

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- The theorem is quantified over all $K$. If we require $K=\mathbb{Q}$, then 34 cases occur. If we require $K$ to be totally real, then 35 cases occur.


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- There is a field $K$ over which all 52 cases occur (Fité-Guitart).


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- There is a field $K$ over which all 52 cases occur (Fité-Guitart).
- Nothing changes if we restrict to principally polarized abelian surfaces or even Jacobians. FKRS give explicit genus 2 curves in all cases.

Identity components vs. extensions: the case of surfaces

| Type | $\operatorname{End}\left(A_{\overline{\mathbb{O}}}\right)_{\mathbb{R}}$ | $\mathrm{ST}(A)^{\circ}$ | Extensions | Maximal ${ }^{\dagger}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbf{A}$ | $\mathbb{R}$ | $\mathrm{USp}(4)$ | 1 | 1 |
| B | $\mathbb{R} \times \mathbb{R}$ | $\mathrm{SU}(2) \times \operatorname{SU}(2)$ | 2 | 1 |
| C | $\mathbb{C} \times \mathbb{R}$ | $\mathrm{U}(1) \times \operatorname{SU(2)}$ | 2 | 1 |
| $\mathbf{D}$ | $\mathbb{C} \times \mathbb{C}$ | $\mathrm{U}(1) \times \mathrm{U}(1)$ | 5 | 2 |
| $\mathbf{E}$ | $\mathrm{M}_{2}(\mathbb{R})$ | $\mathrm{SU}(2)_{2}$ | 10 | 2 |
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| Total |  |  | 52 | 9 |

Here $*_{2}$ denotes the diagonal embedding.
${ }^{\dagger}$ Here "maximal" will always mean with respect to inclusions of finite index.

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Here $*_{2}$ denotes the diagonal embedding.
Warning: if $A$ is geometrically simple, $\mathrm{ST}(A)^{\circ}$ can still be decomposable because it only depends on $\operatorname{End}\left(A_{\overline{\mathbb{Q}}}\right)_{\mathbb{R}}$. For example, if $A$ has $C M$ by a quartic field $K$, then $\operatorname{End}\left(A_{\overline{\mathbb{Q}}}\right)_{\mathbb{R}} \cong K \otimes_{\mathbb{Q}} \mathbb{R} \cong \mathbb{C} \times \mathbb{C}$.
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## The case of threefolds

Theorem (Fité-K-Sutherland, 2019)
There are 410 conjugacy classes of closed subgroups of USp(6) which occur as $\mathrm{ST}(A)$ for some abelian threefold $A$ over some number field $K$.


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- This includes 14 options for $\mathrm{ST}(A)^{\circ}$ (Moonen-Zarhin).


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- $\# \pi_{0}(\mathrm{ST}(A))$ divides $^{\ddagger}$ one of $192=2^{6} \times 3,336=2^{4} \times 3 \times 7$, $432=2^{4} \times 3^{3}$ (and these values occur).
${ }^{\ddagger}$ This refines earlier estimates by Silverberg and Guralnick-K.


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- The 410 cases correspond to only 409 distinct distributions of $\bar{L}_{p}$. The two cases that collide have distinct component groups.
${ }^{\ddagger}$ This refines earlier estimates by Silverberg and Guralnick-K.


## The case of threefolds

Theorem (Fité-K-Sutherland, 2019)
There are 410 conjugacy classes of closed subgroups of USp(6) which occur as $\mathrm{ST}(A)$ for some abelian threefold $A$ over some number field $K$.

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- The 410 cases correspond to only 409 distinct distributions of $\bar{L}_{p}$. The two cases that collide have distinct component groups.
- We do not know what happens if we restrict $K$.
- It is unclear ${ }^{\S}$ if we can achieve a principal polarization or a Jacobian.

[^3] principally polarized abelian threefold. This claim is retracted.

Identity components vs. extensions: the case of threefolds

| Type | $\operatorname{End}\left(A_{\overline{\mathbb{Q}}}\right)_{\mathbb{R}}$ | $\mathrm{ST}(A)^{\circ}$ | Extensions | Maximal ${ }^{\mathbb{T}}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbf{A}$ | $\mathbb{R}$ | $\mathrm{USp}(6)$ | 1 | 1 |
| $\mathbf{B}$ | $\mathbb{C}$ | $\mathrm{U}(3)$ | 2 | 1 |
| $\mathbf{C}$ | $\mathbb{R} \times \mathbb{R}$ | $\mathrm{SU}(2) \times \mathrm{USp}(4)$ | 1 | 1 |
| $\mathbf{D}$ | $\mathbb{C} \times \mathbb{R}$ | $\mathrm{U}(1) \times \mathrm{USp}(4)$ | 2 | 1 |
| $\mathbf{E}$ | $\mathbb{R} \times \mathbb{R} \times \mathbb{R}$ | $\mathrm{SU}(2) \times \mathrm{SU}(2) \times \mathrm{SU}(2)$ | 4 | 1 |
| $\mathbf{F}$ | $\mathbb{C} \times \mathbb{R} \times \mathbb{R}$ | $\mathrm{U}(1) \times \mathrm{SU}(2) \times \mathrm{SU}(2)$ | 5 | 1 |
| $\mathbf{G}$ | $\mathbb{C} \times \mathbb{C} \times \mathbb{R}$ | $\mathrm{U}(1) \times \mathrm{U}(1) \times \mathrm{SU}(2)$ | 5 | 2 |
| $\mathbf{H}$ | $\mathbb{C} \times \mathbb{C} \times \mathbb{C}$ | $\mathrm{U}(1) \times \mathrm{U}(1) \times \mathrm{U}(1)$ | 13 | 3 |
| $\mathbf{I}$ | $\mathbb{R} \times \mathrm{M}_{2}(\mathbb{R})$ | $\mathrm{SU}(2) \times \mathrm{SU}(2)_{2}$ | 10 | 2 |
| $\mathbf{J}$ | $\mathbb{R} \times \mathrm{M}_{\mathbf{2}}(\mathbb{C})$ | $\mathrm{SU}(2) \times \mathrm{U}(1)_{2}$ | 32 | 2 |
| $\mathbf{K}$ | $\mathbb{C} \times \mathrm{M}_{\mathbf{2}}(\mathbb{R})$ | $\mathrm{U}(1) \times \mathrm{SU}(2)_{2}$ | 31 | 2 |
| $\mathbf{L}$ | $\mathbb{C} \times \mathrm{M}_{2}(\mathbb{C})$ | $\mathrm{U}(1) \times \mathrm{U}(1)_{2}$ | 122 | 2 |
| $\mathbf{M}$ | $\mathrm{M}_{3}(\mathbb{R})$ | $\mathrm{SU}(2)_{3}$ | 11 | 2 |
| $\mathbf{N}$ | $\mathrm{M}_{\mathbf{3}}(\mathbb{C})$ | $\mathrm{U}(1)_{3}$ | 171 | 12 |
| Total |  |  | 410 | 33 |

"Again, "maximal" means with respect to inclusions of finite index.

## Contents

(1) The Sato-Tate group of an abelian variety
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4 Realization by Jacobians: glueing along 2-torsion
(5) Realization by Jacobians: automorphisms
(6) Possible obstructions to going further

## Indecomposable cases: type A

For each candidate $G^{\circ}$ for $\mathrm{ST}(A)^{\circ}$, candidates for $G$ correspond to conjugacy classes of finite subgroups of $N / G^{\circ}$ where $N$ is the normalizer of $G^{\circ}$ in USp(6). We identify maximal candidates for $G$ and describe realizations $\|$ of these by abelian threefolds over $\mathbb{Q}$. In most cases, these will not be Jacobians; more on that later.
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To begin, we say that $G^{\circ}$ is indecomposable if $G^{\circ}=U S p(6), \mathrm{U}(3)$. In these cases, the only options for $G$ are $\mathrm{USp}(6), \mathrm{U}(3), N(\mathrm{U}(3))$.
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The group USp(6) occurs for an abelian threefold with trivial endomorphism ring. By a theorem of Zarhin, the Jacobian of

$$
y^{2}=x^{7}-x+1
$$

is such an example over $\mathbb{Q}$.
"To be clear, we are not attempting to classify all possible realizations.

## Indecomposable cases: type B

The group $N(\mathrm{U}(3))$ occurs for the Jacobian of a generic Picard curve$y^{3}=P_{4}(x)$
To take a concrete example, consider

It was shown by Upton that its Jacobian has maximal mod-67 Galois image, by computing Frobenius elements.
One can also show that it has maximal mod-2 Galois image by computing the action of Galois on the 27 odd theta characteristics corresponding to finite bitangents (the 28th being the line at infinity).

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## Split products: types C, D, F, G, I, J, K, L

We say that $G^{\circ}$ is a split product if it factors as a nontrivial product $G_{1}^{\circ} \times G_{2}^{\circ}$ with no shared factors between the two sides. That is,

$$
\begin{gathered}
G^{\circ}=\mathrm{SU}(2) \times \mathrm{USp}(4), \mathrm{U}(1) \times \mathrm{USp}(4), \\
\mathrm{U}(1) \times \mathrm{SU}(2) \times \mathrm{SU}(2), \mathrm{U}(1) \times \mathrm{U}(1) \times \mathrm{SU}(2), \\
\mathrm{SU}(2) \times \mathrm{SU}(2)_{2}, \mathrm{SU}(2) \times \mathrm{U}(1)_{2}, \mathrm{U}(1) \times \mathrm{SU}(2)_{2}, \mathrm{U}(1) \times \mathrm{U}(1)_{2} .
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In these cases, $N$ splits as $N_{1} \times N_{2}$, so the same holds for maximal candidates for $G$.

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\end{gathered}
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In these cases, $N$ splits as $N_{1} \times N_{2}$, so the same holds for maximal candidates for $G$.

By taking products of lower-dimensional examples, we see immediately that all maximal candidates for $G$ arise for principally polarized abelian threefolds over $\mathbb{Q}$.

## Triple products: types $\mathbf{E}, \mathbf{H}$

We say that $G^{\circ}$ is a triple product if it is a product of three copies of the same group. In these cases, $N / G^{\circ}$ is finite.

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For $G^{\circ}=\operatorname{SU}(2) \times \operatorname{SU}(2) \times \operatorname{SU}(2)$, we have $N / G^{\circ} \cong S_{3}$. This is realized by the Weil restriction of a non-CM elliptic curve over a non-Galois cubic field.

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For $G^{\circ}=\mathrm{U}(1) \times \mathrm{U}(1) \times \mathrm{U}(1)$, the maximal permissible** candidates for $G$ have

$$
G / G^{\circ} \cong \mathrm{C}_{2} \times \mathrm{C}_{2} \times \mathrm{C}_{2}, \mathrm{C}_{2} \times \mathrm{C}_{4}, \mathrm{C}_{6}
$$

The first two cases arise as products. The third occurs for the Jacobian of $y^{2}=x^{7}-1$ or $y^{3}=x^{4}-x$.

[^4]
## Triple diagonals: types $\mathbf{M}, \mathbf{N}$

We say that $G^{\circ}$ is a triple diagonal if $G^{\circ}=\mathrm{SU}(2)_{3}, \mathrm{U}(1)_{3}$. In these cases, $N / G^{\circ}$ is infinite, but there is a bound on the order of elements in $N / G^{\circ}$ coming from the rationality condition (not discussed here).

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These cases may be realized in a uniform way: start with an abelian threefold $A$ isogenous to the cube of an elliptic curve $E$ with $\operatorname{End}(E)_{\mathbb{Q}}=M$, where $M$ is either $\mathbb{Q}$ or an imaginary quadratic field of class number 1 . Let

$$
\rho \in H^{1}\left(G_{\mathbb{Q}}, \operatorname{Aut}\left(A_{\overline{\mathbb{Q}}}\right)\right)
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be a cocycle; we may then form a twist of $A$ over $\mathbb{Q}$ whose Sato-Tate group is the projective image of $\rho$.

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One obtains a polarization on the twist by averaging, but it need not be principal.

## More on type $\mathbf{N}$

The 12 maximal groups of type $\mathbf{N}$ are mostly realized as complex reflection groups within $\mathrm{GL}_{3}(M) \rtimes \operatorname{Gal}(M / \mathbb{Q})$. This makes it easy to make Galois cocycles, as the invariant ring of a complex reflection group is a polynomial ring (and conversely!).

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One can also find explicit Galois embeddings using various techniques. Most notably, one of the groups arises from the Hessian group of order 216; this can be achieved using the mod-2 Galois representation of a generic Picard curve (which again can be computed from bitangents).

## Scoreboard

| Type | $G^{\circ}$ | Maximal | PPA3s ${ }^{\dagger \dagger}$ | Jacobians |
| :---: | :---: | :---: | :---: | :---: |
| A | USp(6) | 1 | 1 | 1 |
| B | $U(3)$ | 1 | 1 | 1 |
| C | $\mathrm{SU}(2) \times \mathrm{USp}(4)$ | 1 | 1 | 0 |
| D | $\mathrm{U}(1) \times \mathrm{USp}(4)$ | 1 | 1 | 0 |
| E | $\mathrm{SU}(2) \times \mathrm{SU}(2) \times \mathrm{SU}(2)$ | 1 | 1 | 0 |
| F | $\mathrm{U}(1) \times \mathrm{SU}(2) \times \mathrm{SU}(2)$ | 1 | 1 | 0 |
| G | $\mathrm{U}(1) \times \mathrm{U}(1) \times \mathrm{SU}(2)$ | 2 | 2 | 0 |
| H | $\mathrm{U}(1) \times \mathrm{U}(1) \times \mathrm{U}(1)$ | 3 | 3 | 1 |
| I | $\mathrm{SU}(2) \times \mathrm{SU}(2)_{2}$ | 2 | 2 | 0 |
| J | $S U(2) \times U(1)_{2}$ | 2 | 2 | 0 |
| K | $\mathrm{U}(1) \times \mathrm{SU}(2)_{2}$ | 2 | 2 | 0 |
| L | $\mathrm{U}(1) \times \mathrm{U}(1)_{2}$ | 2 | 2 | 0 |
| M | $\mathrm{SU}(2)_{3}$ | 2 | 0 | 0 |
| N | $\mathrm{U}(1)_{3}$ | 12 | 0 | 0 |

${ }^{\dagger \dagger}$ PPA $3=$ principally polarized abelian threefold.

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## Glueing along 2-torsion

One way to convert product constructions into Jacobians is to glue along 2-torsion. Let $k$ be any field of characteristic 0 .

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Theorem (Howe-Leprevost-Poonen)
Let $C_{1}, C_{2}, C_{3}$ be curves of genus 1 over $k$. Suppose that $\prod_{i} \Delta\left(\operatorname{Jac}\left(C_{i}\right)\right)$ is a square in $k$. Then there exist a curve $C$ of genus 3 over $k$, a twist $A$ of $\operatorname{Jac}(C)$ over $k$, and a (2,2,2)-isogeny $\operatorname{Jac}\left(C_{1}\right) \times \operatorname{Jac}\left(C_{2}\right) \times \operatorname{Jac}\left(C_{3}\right) \cong A$.

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## Theorem (Hanselman)

Let $C_{1}$ and $C_{2}$ be curves of genus 1 and 2 over $k$. Suppose that there exist a point $Q \in \operatorname{Jac}\left(C_{2}\right)[2](k)$ and an isomorphism $\operatorname{Jac}\left(C_{1}\right)[2] \cong\langle Q\rangle^{\perp} /\langle Q\rangle$ of group schemes over $k$. Then there exist a curve $C$ of genus 3 over $k$, a twist $A$ of $\operatorname{Jac}(C)$ over $k$, and a (2, 2)-isogeny $\operatorname{Jac}\left(C_{1}\right) \times \operatorname{Jac}\left(C_{2}\right) \rightarrow A$.

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In both cases, $C$ and $A$ can be constructed explicitly.

## An example of type $\mathbf{H}$ (Everett Howe)

Consider the following elliptic curves over $\mathbb{Q}$.

$$
\begin{array}{rl}
E_{1}: y^{2}=x^{3}+3 x^{2}+3 x & \mathrm{CM} \text { by } \mathbb{Q}\left(\zeta_{3}\right) \\
E_{2}: y^{2}=x^{3}+x^{2}+2 x & \mathrm{CM} \text { by } \mathbb{Q}(\sqrt{-2}) \\
E_{3}: y^{2}=x^{3}-21 x & \mathrm{CM} \text { by } \mathbb{Q}(i)
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Then $E_{1} \times E_{2} \times E_{3}$ is isogenous to a twist of the Jacobian of

$$
3 X^{4}+2 Y^{4}+6 Z^{4}-6 X^{2} Y^{2}+6 X^{2} Z^{2}-12 Y^{2} Z^{2}=0
$$

This realizes a maximal extension of $U(1) \times U(1) \times U(1)$ with component group $\mathrm{C}_{2} \times \mathrm{C}_{2} \times \mathrm{C}_{2}$.

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We may similarly deal with the extension of $\mathrm{SU}(2) \times \mathrm{SU}(2) \times \mathrm{SU}(2)$ by $\mathrm{S}_{3}$ using conjugate curves over a cubic field.

## More examples of glueing along 2-torsion

For any polynomial $P_{4}(x)$ of degree 4 with distinct roots, for

$$
C_{1}: y^{2}=P_{4}(x), \quad C_{2}: y^{2}=x P_{4}(x), \quad C_{3}: y^{2}=P_{4}\left(x^{2}\right)
$$

there is a $(2,2)$-isogeny $\operatorname{Jac}\left(C_{1}\right) \times \operatorname{Jac}\left(C_{2}\right) \rightarrow \operatorname{Jac}\left(C_{3}\right)$.

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| Type | $G / G^{\circ}$ | $P_{4}(x)$ | $J a c\left(C_{1}\right)$ | CM |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbf{C}$ | $\mathrm{C}_{1}$ | $4 x^{4}-7 x+4$ | $2836 . \mathrm{a} 1$ | None |
| $\mathbf{D}$ | $\mathrm{C}_{2}$ | $x^{4}+6 x^{2}+4 x+2$ | $1600 . \mathrm{m} 1$ | $\mathbb{Q}(i)$ |
| $\mathbf{F}$ | $\mathrm{C}_{2} \times \mathrm{C}_{2}$ | $x^{4}+2 x^{3}+4 x^{2}+4 x+4$ | $256 . \mathrm{a} 1$ | $\mathbb{Q}(i)$ |
| $\mathbf{G}$ | $\mathrm{C}_{4}$ | $x^{4}-5 x^{3}+10 x^{2}+10 x-1$ | $200 . \mathrm{b} 2$ | None |
| $\mathbf{G}$ | $\mathrm{C}_{2} \times \mathrm{C}_{2}$ | $x^{4}+4 x^{3}-2 x^{2}+4 x+1$ | $128 . \mathrm{a} 2$ | None |
| $\mathbf{H}$ | $\mathrm{C}_{2} \times \mathrm{C}_{4}$ | $x^{4}-8 x^{3}+20 x^{2}-16 x+2$ | $256 . \mathrm{d} 1$ | $\mathbb{Q}(\sqrt{-2})$ |
| $\mathbf{I}$ | $\mathrm{D}_{4}$ | $x^{4}+x^{2}+2$ | $224 . \mathrm{al}$ | None |
| $\mathbf{I}$ | $\mathrm{D}_{6}$ | $x^{4}+8 x^{3}+18 x^{2}+16 x-4$ | $5184 . \mathrm{d} 2$ | None |
| $\mathbf{K}$ | $\mathrm{C}_{2} \times \mathrm{D}_{4}$ | $x^{4}+2 x^{3}+4 x-4$ | $2304 . c 2$ | $\mathbb{Q}(i)$ |

## Scoreboard

| Type | $G^{\circ}$ | Maximal | PPA3s | Jacobians |
| :---: | :---: | :---: | :---: | :---: |
| A | USp(6) | 1 | 1 | 4 |
| B | U(3) | 1 | 1 | 1 |
| C | $S U(2) \times U S p(4)$ | 1 | 1 | 4 |
| D | $U(1) \times U S p(4)$ | 1 | 1 | 1 |
| E | $S U(2) \times S U(2) \times S U(2)$ | 1 | 1 | 1 |
| F | $U(1) \times S U(2) \times S U(2)$ | 1 | 1 | 1 |
| G | $\forall(1) \times U(1) \times S U(2)$ | $z$ | $z$ | $z$ |
| H | $\cup(1) \times U(1) \times U(1)$ | 3 | 3 | 3 |
| 1 | $\mathrm{SU}(2) \times \mathrm{SU}(2)_{2}$ | 2 | 2 | 2 |
| J | $\mathrm{SU}(2) \times \mathrm{U}(1)_{2}$ | 2 | 2 | 0 |
| K | $\mathrm{U}(1) \times \mathrm{SU}(2)_{2}$ | 2 | 2 | 1 |
| L | $\mathrm{U}(1) \times \mathrm{U}(1)_{2}$ | 2 | 0 | 0 |
| M | $\mathrm{SU}(2){ }_{3}$ | 2 | 0 | 0 |
| N | $\mathrm{U}(1)_{3}$ | 12 | 0 | 0 |

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(6) Possible obstructions to going further

## Reduced automorphism groups of genus 3 curves

| Aut ${ }^{\prime}(C)$ | Hyperelliptic model | Plane quartic model | Type |
| :---: | :---: | :---: | :---: |
| $\mathrm{C}_{1}$ | $P_{8}(x)$ | $P_{4}(X, Y, Z)$ | $\mathbf{A}$ |
| $\mathrm{C}_{2}$ | $P_{4}\left(x^{2}\right)$ | $Y^{4}+Y^{2} P_{2}(X, Z)+P_{4}(X, Z)$ | $\mathbf{C}$ |
| $\mathrm{C}_{3}$ | None | $Y^{3} Z+P_{4}(X, Z)$ | $\mathbf{B}$ |
| $\mathrm{C}_{2} \times \mathrm{C}_{2}$ | $x^{4} P_{2}\left(x^{2}+x^{-2}\right)$ | $P_{2}\left(X^{2}, Y^{2}, Z^{2}\right)$ | $\mathbf{E}$ |
| $\mathrm{C}_{6}$ | None | $Y^{3} Z+P_{2}\left(X^{2}, Z^{2}\right)$ | $\mathbf{K}$ |
| $\mathrm{C}_{7}$ | $x^{7}-1 \star$ | None | $\mathbf{H}$ |
| $\mathrm{C}_{9}$ | None | $Y^{3} Z+X^{4}+X Z^{3} \star$ | $\mathbf{H}$ |
| $\mathrm{~S}_{3}$ | $x P_{2}\left(x^{3}\right)$ | $X^{3} Z+Y^{3} Z+a X^{2} Y^{2}+b X Y Z^{2}+c Z^{4}$ | $\mathbf{I}$ |
| $\mathrm{D}_{4}$ | $P_{2}\left(x^{4}\right)$ | $\mathrm{Cyc}\left(X^{4}\right)+a X^{2} Y^{2}+b\left(X^{2}+Y^{2}\right) Z^{2}$ | $\mathbf{I}$ |
| $\mathrm{D}_{6}$ | $x^{7}-x \star$ | None | $\mathbf{N}$ |
| $\mathrm{D}_{8}$ | $x^{8}-1 \star$ | None | $\mathbf{L}$ |
| $\langle 16,13\rangle$ | None | $Y^{4}+P_{2}\left(X^{2}, Z^{2}\right)$ | $\mathbf{L}$ |
| $\mathrm{S}_{4}$ | $x^{8}-14 x^{4}+1 \star$ | $\mathrm{Cyc}\left(X^{4}\right)+c \mathrm{Cyc}\left(X^{2} Y^{2}\right)$ | $\mathbf{M}$ |
| $\langle 48,33\rangle$ | None | $Y^{4}+X^{3} Z+Z^{4} \star$ | $\mathbf{L}$ |
| $\langle 96,64\rangle$ | None | $X^{4}+Y^{4}+Z^{4} \star$ | $\mathbf{N}$ |
| $\mathrm{PSL}_{2}\left(\mathbb{F}_{7}\right)$ | None | $X^{3} Y+X Z^{3}+Y^{3} Z \star$ | $\mathbf{N}$ |

Notation: Aut ${ }^{\prime}$ : divide by the hyperelliptic involution; $P_{n}$ : a generic polynomial (or homogeneous polynomials) of degree $n$; Cyc: sum over cyclic permutations of $X, Y, Z ; \star$ : an isolated point in moduli; $\langle m, n\rangle$ : GAP group notation.

## Twists of maximal automorphism groups

The curve

$$
y^{2}=x^{8}-14 x^{4}+1
$$

has reduced automorphism group $\mathrm{S}_{4}$. Twists of this curve were studied by Arora-Cantoral Farfán-Landesman-Lombardo-Morrow; they give examples with $G^{\circ}=\operatorname{SU}(2)_{3}$ and $G / G^{\circ} \cong S_{4}$.

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Similarly, the curve

$$
y^{2}=x^{7}-x
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has reduced automorphism group $D_{6}$. Twists of this curve ${ }^{\ddagger \ddagger}$ give examples with $G^{\circ}=\mathrm{U}(1)_{3}$ and $G / G^{\circ} \cong\langle 48,38\rangle$.
${ }^{\ddagger \ddagger}$ Beware: twists of the form $y^{2}=x^{7}-c x$ are not general enough for this statement.

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Similarly, twists of the Fermat and Klein quartics were studied by Fité-Lorenzo García-Sutherland; they give examples with $G^{\circ}=\mathrm{U}(1)_{3}$ and $G / G^{\circ} \cong\langle 192,956\rangle,\langle 336,208\rangle$.

[^5]
## Twists of smaller automorphism groups

Families of curves which acquire various automorphism groups over $\overline{\mathbb{Q}}$ have been catalogued by Lorenzo García. For instance, curves of the form

$$
X^{3} Z+b Y^{3} Z+c X^{2} Y^{2}+d X Y Z^{2}+e Z^{4}
$$

admit automorphisms by $\mathrm{S}_{3}$ over $\mathbb{Q}\left(\zeta_{3}, b^{1 / 3}\right)$. They generally have Sato-Tate groups with $G^{\circ} \cong \operatorname{SU}(2) \times \operatorname{SU}(2)_{2}$; by specializing, we can ensure that $G^{\circ} \cong \operatorname{SU}(2)_{3}$ and $G / G^{\circ} \cong \mathrm{D}_{6}$.

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For another example, curves of the form

$$
Y^{4}=P_{4}(X, Z)
$$

admit automorphisms by $\mathrm{D}_{4}$ over $L(i)$ where $L$ is the splitting field of $P_{4}$. A generic such curve has $G^{\circ} \cong \mathrm{SU}(2) \times \mathrm{U}(1)_{2}$ and $G / G^{\circ} \cong \mathrm{S}_{4} \times \mathrm{C}_{2}$ (that is, $G \cong \operatorname{SU}(2) \times J(O)))$.

## Plane quartics with an involution

A plane quartic with an involution is a double cover of a genus-1 curve. The Prym is isomorphic (without polarization) to the Jacobian of a (possibly decomposable) genus-2 curve. (This includes our prior $1+2$ glueing.)


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For example, the curve cut out by

$$
4 X^{4}+8 X^{3} Z-8 X^{2} Y^{2}+6 X^{2} Z^{2}+4 X Y^{2} Z-4 X Z^{3}+6 Y^{4}+4 Y^{2} Z^{2}-5 Z^{4}
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has Sato-Tate group $\operatorname{SU}(2) \times J\left(D_{6}\right)$.

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has Sato-Tate group $\operatorname{SU}(2) \times J\left(D_{6}\right)$.
For another example, the curve cut out by

$$
2 Y^{4}+Y^{2}\left(4 X^{2}-6 Z^{2}\right)+4 X^{4}+6 X^{3} Z+X Z^{3}+3 Z^{4}
$$

has Sato-Tate group $N(U(1)) \times J\left(E_{6}\right)$.

## A word from our sponsors

Some of the preceding examples were first discovered using very large searches using Google Cloud Platform.


## Scoreboard

| Type | $G^{\circ}$ | Maximal | PPA3s | Jacobians |
| :---: | :---: | :---: | :---: | :---: |
| A | USp(6) | 4 | 4 | 1 |
| B | $U(3)$ | 1 | 1 | 1 |
| C | $\operatorname{SU}(2) \times U S p(4)$ | 1 | 4 | 4 |
| D | $U(1) \times U S p(4)$ | 1 | 1 | 1 |
| E | $S U(2) \times S U(2) \times S U(2)$ | 4 | 1 | 1 |
| $F$ | $\forall(1) \times S U(2) \times S U(2)$ | 1 | 1 | 1 |
| G | $\forall(1) \times U(1) \times S U(2)$ | $z$ | $z$ | $z$ |
| H | $\cup(1) \times U(1) \times U(1)$ | 3 | 3 | 3 |
| 1 | $\mathrm{SU}(2) \times \mathrm{SU}(2)_{2}$ | 2 | 2 | 2 |
| J | $\mathrm{SU}(2) \times U(1)_{2}$ | 2 | 2 | 2 |
| K | $U(1) \times S U(2)_{2}$ | 2 | 2 | 2 |
| L | $\mathrm{U}(1) \times \mathrm{U}(1)_{2}$ | 2 | 2 | 0 |
| M | $\mathrm{SU}(2)_{3}$ | 2 | $z$ | $z$ |
| N | $\mathrm{U}(1)_{3}$ | 12 | 3 | 3 |

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## The situation in type $\mathbf{L}$

The two maximal groups of type $\mathbf{L}$ arise from the product of a CM elliptic curve with the Jacobian of a genus 2 curve with a maximal Sato-Tate group $\left(J\left(D_{6}\right)\right.$ or $\left.J(O)\right)$.
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It is possible to arrange for pairs of curves like this to admit a (2,2)-glueing, but there is a catch: this (apparently) forces the CM field of the elliptic curve to lie within the endomorphism field of the genus 2 Jacobian. So we miss the desired maximal groups by a factor of 2 .

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It is possible to arrange for pairs of curves like this to admit a (2, 2)-glueing, but there is a catch: this (apparently) forces the CM field of the elliptic curve to lie within the endomorphism field of the genus 2 Jacobian. So we miss the desired maximal groups by a factor of 2 .

Can one use a (3, 3)-glueing instead? If not, can one exhibit an obstruction to realizing these groups with Jacobians?

## A closer look at type $\mathbf{N}$

The 12 maximal groups of type $\mathbf{N}$ have component groups of the form $H \rtimes \operatorname{Gal}(M / \mathbb{Q})$ where $M$ is an imaginary quadratic field of class number 1 and $H$ is a finite subgroup of $\mathrm{GL}_{3}(M)$. We have realized three of these groups using Jacobians so far.

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We get a fourth group by taking the product of an elliptic curve with CM by $\mathbb{Q}(\sqrt{-2})$ with the Jacobian of a genus 2 curve with Sato-Tate group $J(O)$.

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We get a fourth group by taking the product of an elliptic curve with CM by $\mathbb{Q}(\sqrt{-2})$ with the Jacobian of a genus 2 curve with Sato-Tate group $J(O)$.
We get a fifth group by taking the Weil restriction of $y^{2}=x^{3}-\alpha$ where $\mathbb{Q}(\alpha)$ is an $\mathrm{S}_{3}$-cubic field.

## The scoreboard in type $\mathbf{N}$

| $H$ | $H \rtimes \operatorname{Gal}(M / \mathbb{Q})$ | $M$ | Realized as PPA3? |
| :---: | :---: | :---: | :---: |
| $\langle 24,1\rangle$ | $\langle 48,15\rangle$ | $\mathbb{Q}(i)$ | no |
| $\langle 24,10\rangle$ | $\langle 48,15\rangle$ | $\mathbb{Q}\left(\zeta_{3}\right)$ | no |
| $\langle 24,5\rangle$ | $\langle 48,38\rangle$ | $\mathbb{Q}(i)$ | as Jacobian |
| $\langle 24,5\rangle$ | $\langle 48,41\rangle$ | $\mathbb{Q}(i)$ | no |
| $\langle 48,29\rangle$ | $\langle 96,193\rangle$ | $\mathbb{Q}(\sqrt{-2})$ | as product |
| $\langle 72,25\rangle$ | $\langle 144,127\rangle$ | $\mathbb{Q}\left(\zeta_{3}\right)$ | no |
| $\langle 72,25\rangle$ | $\langle 144,125\rangle$ | $\mathbb{Q}\left(\zeta_{3}\right)$ | no |
| $\langle 96,67\rangle$ | $\langle 192,988\rangle$ | $\mathbb{Q}(i)$ | no |
| $\langle 96,64\rangle$ | $\langle 192,956\rangle$ | $\mathbb{Q}(i)$ | as Jacobian |
| $\langle 168,42\rangle$ | $\langle 336,208\rangle$ | $\mathbb{Q}(\sqrt{-7})$ | as Jacobian |
| $\langle 216,92\rangle$ | $\langle 432,523\rangle$ | $\mathbb{Q}\left(\zeta_{3}\right)$ | as Weil restriction |
| $\langle 216,153\rangle$ | $\langle 432,734\rangle$ | $\mathbb{Q}\left(\zeta_{3}\right)$ | no |

## The remaining cases

It is unclear whether the remaining cases in type $\mathbf{N}$ can occur for PPA3s. It may be possible to rule this out by carefully classifying the ways they can occur, in the style of Fité-Guitart; a first step would be show that one must take a twist of an abelian threefold isogenous to the cube of an elliptic curve with CM by $M$.

Regardless, one can still look for explicit realizations, say by taking the product of an elliptic curve with the Prym variety of dimension 2 associated to a ramified double or triple cover; this would yield a polarization of type $(1,1,2)$ or $(1,1,3)$. For example, $\langle 192,988\rangle$ occurs in this manner (for a double cover).

## The final scoreboard (for now)

| Type | $G^{\circ}$ | Maximal | PPA3s | Jacobians |
| :---: | :---: | :---: | :---: | :---: |
| A | $\mathrm{USp}(6)$ | 1 | 1 | 1 |
| B | $\mathrm{U}(3)$ | 1 | 1 | 1 |
| C | $\mathrm{SU}(2) \times \mathrm{USp}(4)$ | 1 | 1 | 1 |
| D | $\mathrm{U}(1) \times \mathrm{USp}(4)$ | 1 | 1 | 1 |
| E | $\mathrm{SU}(2) \times \mathrm{SU}(2) \times \mathrm{SU}(2)$ | 1 | 1 | 1 |
| F | $\mathrm{U}(1) \times \mathrm{SU}(2) \times \mathrm{SU}(2)$ | 1 | 1 | 1 |
| G | $\mathrm{U}(1) \times \mathrm{U}(1) \times \mathrm{SU}(2)$ | 2 | 2 | 2 |
| H | $\mathrm{U}(1) \times \mathrm{U}(1) \times \mathrm{U}(1)$ | 3 | 3 | 3 |
| I | $\mathrm{SU}(2) \times \mathrm{SU}(2)_{2}$ | 2 | 2 | 2 |
| J | $\mathrm{SU}(2) \times \mathrm{U}(1)_{2}$ | 2 | 2 | 2 |
| K | $\mathrm{U}(1) \times \mathrm{SU}(2)_{2}$ | 2 | 2 | 2 |
| L | $\mathrm{U}(1) \times \mathrm{U}(1)_{2}$ | 2 | 2 | 0 |
| M | $\mathrm{SU}(2)_{3}$ | 2 | 2 | 2 |
| N | $\mathrm{U}(1)_{3}$ | 12 | 5 | 3 |


[^0]:    *For any prime $\ell$, the image of the $\ell$-adic Galois representation of $A$ has finite index in the maximal group allowed by the Hodge structure. This holds for $\operatorname{dim}(A) \leq 3$.

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[^3]:    ${ }^{\ddagger}$ This refines earlier estimates by Silverberg and Guralnick-K.
    ${ }^{\S}$ At AGCT 2019, I announced that every Sato-Tate group can be realized by a

[^4]:    ** Here we account for restrictions imposed by Shimura's theory of CM types.

[^5]:    ${ }^{\ddagger \ddagger}$ Beware: twists of the form $y^{2}=x^{7}-c x$ are not general enough for this statement.

