

**Math 203B: Algebraic Geometry**  
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**The Riemann-Roch theorem**

Throughout this lecture, let  $k$  be an algebraically closed field and let  $C$  be a *curve* over  $k$ , by which I will mean a smooth irreducible projective variety of dimension 1 over  $k$  (or rather, the associated scheme). Note: I'm switching the field label from capital  $K$  to lowercase  $k$  to free up the symbol  $K$ ; see below.

## 1 The Riemann-Roch theorem

I stated (and briefly alluded to the proof of) the Riemann-Roch theorem in the last lecture.

**Theorem 1** (Riemann-Roch). *For every line bundle  $\mathcal{L}$  on  $C$ , there is a canonical perfect pairing*

$$H^0(C, \mathcal{L}) \times H^1(C, \Omega \otimes \mathcal{L}^{-1}) \rightarrow k.$$

*In particular, the two vector spaces have the same dimension.*

Let's now spin out some consequences of this statement.

**Corollary 2.** *The  $k$ -vector spaces  $H^1(C, \mathcal{O}_C)$  and  $H^0(C, \Omega)$  have the same dimension.*

This dimension is an important invariant of  $C$ , called the *genus* of  $C$ . In the context of Riemann surfaces (i.e., when  $k = \mathbb{C}$ ), one can show that  $H^0(C, \Omega)$  is equal to the topological genus of the Riemann surface.

From now on, let  $g$  denote the genus of  $C$ .

**Corollary 3.** *For every line bundle  $\mathcal{L}$  on  $C$ , we have  $\chi(C, \mathcal{L}) = 1 - g + \deg(\mathcal{L})$ .*

*Proof.* By choosing a rational section of  $\mathcal{L}$ , we can write  $\mathcal{L} \cong \mathcal{O}(D)$  for some divisor  $D$ . Using the short exact sequence

$$0 \rightarrow \mathcal{O}_C(P) \rightarrow \mathcal{O}_C(D + P) \rightarrow j_*\mathcal{O}_C \rightarrow 0$$

for  $j : P \rightarrow C$  the canonical embedding, we see that  $\chi(C, \mathcal{O}_C(D + P)) = \chi(C, \mathcal{O}_C(D))$ . By induction, it follows that  $\chi(C, \mathcal{L}) = \chi(C, \mathcal{O}_C) + \deg(\mathcal{L})$ , and  $\chi(C, \mathcal{O}_C) = 1 - g$  by the definition of the genus.  $\square$

Using the duality from Riemann-Roch, we get the following statement, which itself is commonly called the *Riemann-Roch theorem*.

**Corollary 4.** *For every line bundle  $\mathcal{L}$  on  $C$ , we have*

$$\dim_k H^0(C, \mathcal{L}) - \dim_k H^1(C, \Omega \otimes \mathcal{L}^{-1}) = 1 - g + \deg(\mathcal{L}).$$

You will often see this statement written in the following notation. Let  $K_C$  (or just  $K$  if  $C$  is to be understood) denote the divisor associated to a rational section of  $\Omega$ , i.e., a divisor for which  $\mathcal{O}(K_C) \cong \Omega$ . Any such divisor is called a *canonical divisor* of  $C$ . The weird part is that the divisor is not in fact unique; only its equivalence class modulo principal divisors is unique. But rather than talking about the *canonical divisor class*, this terminology seems to have stuck.

**Corollary 5.** *For every divisor  $D$  on  $C$ , we have*

$$\dim_k H^0(C, \mathcal{O}(D)) - \dim_k H^0(C, \mathcal{O}(K_C - D)) = 1 - g + \deg(D).$$

Note that by substituting  $K_C - D$  into this formula, we deduce that

$$\deg(K_C) = 2g - 2,$$

which happens to be the negative of the topological Euler characteristic.

**Corollary 6.** *For every divisor  $D$  on  $C$ , if  $\deg(D) \geq 2g - 1$ , then*

$$\dim_k H^0(C, \mathcal{O}(D)) = 1 - g + \deg(D).$$

*Proof.* If  $H^0(C, \mathcal{O}(K_C - D)) > 0$ , then  $\deg(K_C - D)$  can be computed using a global section instead of a rational section, and therefore must be nonnegative. Consequently, if  $\deg(D) \geq 2g - 1$ , then  $\deg(K_C - D) < 0$  and hence  $H^0(C, \mathcal{O}(K_C - D)) = 0$ .  $\square$

**Corollary 7.** *We have  $g = 0$  if and only if  $C \cong \mathbb{P}_K^1$ .*

*Proof.* If  $C \cong \mathbb{P}_K^1$ , then  $\deg(\Omega) = -2$  because the rational section  $dx$  has a double pole at infinity (by writing it as  $d(y^{-1}) = -y^{-2}dy$  for  $y = x^{-1}$ ). This implies  $g = 0$ .

Conversely, if  $g = 0$ , then choose two distinct points  $P, Q$  on  $C$ . The divisor  $D = (P) - (Q)$  is of degree  $0 \geq 2g - 1$ , so  $\dim_K H^0(C, \mathcal{O}(D)) = 1$ . Any nonzero element of this space is a rational function on  $C$  with only one simple zero and one simple pole, so it gives rise to a map  $C \rightarrow \mathbb{P}_K^1$  which is finite of degree 1, and hence an isomorphism.  $\square$

**Corollary 8.** *A smooth curve of degree  $d$  in  $\mathbb{P}_K^2$  has genus  $\binom{d-1}{2}$ .*

*Proof.* For  $j : C \rightarrow \mathbb{P}_K^2$  the inclusion, we have an exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{P}_K^2}(-d) \rightarrow \mathcal{O}_{\mathbb{P}_K^2} \rightarrow j_*\mathcal{O}_C \rightarrow 0.$$

Taking the associated long exact sequence yields

$$0 = H^1(\mathbb{P}_K^2, \mathcal{O}_{\mathbb{P}_K^2}) \rightarrow H^1(C, \mathcal{O}_C) \rightarrow H^2(\mathbb{P}_K^2, \mathcal{O}_{\mathbb{P}_K^2}(-d)) \rightarrow H^1(\mathbb{P}_K^2, \mathcal{O}) = 0,$$

so this follows from the computation of the cohomology of projective space.  $\square$

Putting these two corollaries together, we see that a smooth curve of degree 2 (a/k/a a *conic* in  $\mathbb{P}_K^2$ ) is always isomorphic to  $\mathbb{P}_K^1$ . This fact is classical: if you project from  $\mathbb{P}_K^2$  away from a point *on*  $C$ , you get a well-defined isomorphism  $C \cong \mathbb{P}_K^1$ . By contrast, a smooth curve of degree 3 has genus 1; such a curve (once you fix a point to use as the origin of the group law) is an example of an *elliptic curve*.

## 2 More on curves of low genus