

Math 204A (Number Theory), UC San Diego, fall 2022
Problem Set 3 – due Thursday, October 20, 2022

Submit *at most five* of the listed problems.

1. Let R be a Dedekind domain. Prove that the following statements are equivalent.
 - (a) The ring R is a principal ideal domain.
 - (b) The ring R is a unique factorization domain.
 - (c) The class group of R is trivial.

(Hint: for (b) \implies (c), it is enough to show that every prime ideal \mathfrak{p} is principal. First show that \mathfrak{p} contains an irreducible element α , then show that α generates a nonzero prime ideal.)

2. Let L/K be a nontrivial extension of number fields such that $[\mathfrak{o}_L^\times : \mathfrak{o}_K^\times]$ is finite. Prove that K is a totally real field (i.e., all of its archimedean embeddings are real) and $L = \mathbb{Q}(\sqrt{\alpha})$ for some $\alpha \in K$ such that $\tau(\alpha) < 0$ for every embedding $\tau : K \rightarrow \mathbb{R}$. Such a field L is called a *CM field* (for “complex multiplication”).
3. Let K be a number field of degree n with signature (r_1, r_2) . We derive Minkowski’s improved estimate for the minimum norm of an ideal in an ideal class of K .

- (a) Prove that for any $t > 0$, the region

$$X = \{(z_\tau) \in K_{\mathbb{R}} : \sum_{\tau} |z_\tau| < t\}$$

has volume $2^{r_1} \pi^{r_2} \frac{t^n}{n!}$. (Hint: it may be easiest to set this up as a multiple integral, using polar coordinates for each complex embedding.)

- (b) Show that any nonzero ideal I of \mathfrak{o}_K contains a nonzero element α satisfying

$$|\text{Norm}_{K/\mathbb{Q}}(\alpha)| \leq \frac{n!}{n^n} \left(\frac{4}{\pi}\right)^{r_2} \sqrt{|d_K|} [\mathfrak{o}_K : I].$$

(Hint: apply Minkowski’s lattice point theorem to the region X from (a), then use the arithmetic-geometric mean inequality.)

- (c) Deduce (as in lecture) that every ideal class of K is represented by some integral ideal of norm at most $\frac{n!}{n^n} \left(\frac{4}{\pi}\right)^{r_2} \sqrt{|d_K|}$.
4. Let K be a number field for which $|d_K| = 1$. Prove that $K = \mathbb{Q}$. (Hint: show that $\frac{n!}{n^n} \left(\frac{4}{\pi}\right)^n < 1$ for $n > 1$, then apply the previous exercise.)
5. Use the improved Minkowski estimate to show that $\mathbb{Q}(\sqrt{11})$ has class number 1.

6. Let K be the number field $\mathbb{Q}[x]/(x^3 - x^2 + x + 1)$.
- Find the home page of K in the LMFDB.
 - Report what the LMFDB says about the signature of K and the structure of the group \mathfrak{o}_K^\times (including generators).
 - Prove that this answer is correct.
7. Repeat part (a) and (b) of the previous exercise for the fields

$$\mathbb{Q}(2^{1/3}), \quad \mathbb{Q}(\sqrt{3}, \sqrt{5}), \quad \mathbb{Q}(\zeta_5).$$

(Hint: it may help to filter by signature and/or Galois group.)

8. (a) Use SageMath to list all the imaginary quadratic fields with discriminant in $[0, 10^4]$ with class number 1. The fact that there are no others of *any* discriminant is a deep theorem (the resolution of the Gauss class number one problem by Baker–Heegner–Stark).
- (b) Compute the fraction of real quadratic fields with discriminant in $[-10^4, 0]$ with class number 1. Note that this is quite far from zero!
- (c) Make a plot comparing two sets of data: the class number of an imaginary quadratic field $\mathbb{Q}(\sqrt{-D})$ as a function of D , and the class number times the logarithm of a fundamental unit of a real quadratic field $\mathbb{Q}(\sqrt{D})$ as a function of D . These should look much more similar.