

**Math 203C (Number Theory), UCSD, spring 2015**  
**Zeta functions for function fields**

Fix a prime number  $p$ . Let  $K$  be a finite extension of the rational function field  $\mathbb{F}_p(t)$ . As in the number field case, the integral closure  $\mathfrak{o}_K$  of  $\mathbb{F}_p[t]$  in  $K$  is a Dedekind domain, and we may define the *Dedekind zeta function* of  $K$  as the Dirichlet series

$$\zeta_K(s) = \sum_{n=1}^{\infty} \frac{a_K(n)}{n^s}$$

where  $a_K(n)$  counts the number of ideals of  $\mathfrak{o}_K$  of absolute norm  $n$ . We can also write this as a sum

$$\zeta_K(s) = \sum_{\mathfrak{a}} \frac{1}{\text{Norm}(\mathfrak{a})^s}$$

where  $\mathfrak{a}$  runs over nonzero ideals of  $\mathfrak{o}_K$ . We get an Euler product factorization

$$\zeta_K(s) = \prod_{\mathfrak{p}} \left( 1 - \frac{1}{\text{Norm}(\mathfrak{p})^s} \right)^{-1}$$

where  $p$  runs over rational primes and  $\mathfrak{p}$  over maximal ideals of  $\mathfrak{o}_K$ . From any of these expansions, we may see that  $\zeta_K(s)$  is defined by an absolutely convergent Dirichlet series for  $\text{Re}(s) > 1$ .

However, in this case one has a more geometric interpretation of this construction. Let  $\mathbb{F}_q$  be the integral closure of  $\mathbb{F}_p$  in  $K$ . Then  $K$  can be identified with the field of rational functions on a certain smooth affine algebraic curve  $C_0$  over  $\mathbb{F}_q$ . Each prime ideal  $\mathfrak{p}$  of  $\mathfrak{o}_K$  has norm  $q^m$  for some positive integer  $m$ , so we can rewrite the Dirichlet series for  $\zeta_K(s)$  as a power series in  $q^{-s}$ .

**Lemma 1.** *We have an identity of formal power series in  $q^{-s}$ :*

$$\zeta_K(s) = \exp \left( \sum_{n=1}^{\infty} \frac{\#C_0(\mathbb{F}_{q^n})}{n} q^{-ns} \right).$$

*Proof.* Each prime ideal  $\mathfrak{p}$  of norm  $q^m$  gives rise to  $m$  distinct points on  $\#C_0$  over  $\mathbb{F}_{q^m}$ , and hence also over  $\mathbb{F}_{q^{mn}}$  for every positive integer  $n$ . Now note that

$$\begin{aligned} \sum_{\mathfrak{p}} \log \left( 1 - \frac{1}{\text{Norm}(\mathfrak{p})^s} \right)^{-1} &= \sum_{\mathfrak{p}} \sum_{n=1}^{\infty} \frac{1}{n} \text{Norm}(\mathfrak{p})^{-ns} \\ &= \sum_{m=1}^{\infty} \sum_{\mathfrak{p}: \text{Norm}(\mathfrak{p})=q^m} \sum_{n=1}^{\infty} \frac{m}{mn} q^{-mns} \\ &= \sum_{d=1}^{\infty} \left( \sum_{m|d} \sum_{\mathfrak{p}: \text{Norm}(\mathfrak{p})=q^m} m \right) \frac{1}{d} q^{-ds}. \end{aligned}$$

□

For example, if  $K = \mathbb{F}_q(t)$ , then  $C_0$  is the affine line over  $\mathbb{F}_q$ , so  $\#C_0(\mathbb{F}_{q^n}) = q^n$  for all  $n$ . We thus have

$$\zeta_K(s) = \exp\left(\sum_{n=1}^{\infty} \frac{q^n}{n} q^{-ns}\right) = (1 - q^{1-s})^{-1}.$$

In particular,  $\zeta_K(s)$  extends to a meromorphic function on  $\mathbb{C}$  with a simple pole at  $s = 1$  with no zeroes whatsoever! One discrepancy with the Riemann zeta function: there are also poles at  $s = 1 + \frac{2\pi in}{\log q}$  for all  $n \in \mathbb{Z}$ .

As with Dedekind zeta functions, one can get something with a good functional equation by adding Euler factors corresponding to completions of  $K$  restricting to the infinite place of  $\mathbb{F}_p(t)$  to get a new function  $\xi_K(s)$ . The latter is the  $\infty$ -adic absolute value:  $|f|_{\infty} = p^{\text{ord}_{\infty}(f)}$ . Unlike in the number field case, though, these missing Euler factors have a similar shape as the finite ones; you just add one factor of  $(1 - q^{-ms})$  for each infinite place with residue field  $\mathbb{F}_{q^m}$ . One then has an analogue of Lemma 1 where one counts points on the smooth projective completion  $C$  of  $C_0$ . (For this reason,  $\xi_K$  is commonly denoted  $\zeta_C$  and is itself called the *zeta function* of the curve  $C$ . For  $K = \mathbb{F}_q(t)$ , we get

$$\xi_K(s) = (1 - q^{-s})^{-1}(1 - q^{1-s})^{-1}$$

which satisfies  $\xi_K(s) = q^{-1}\xi_K(1 - s)$ .

**Theorem 1** (Weil). *For any  $K$ ,  $\xi_K(s)$  extends to a meromorphic function on  $\mathbb{C}$  with simple poles at  $s = \frac{2\pi in}{\log q}$ ,  $s = 1 + \frac{2\pi in}{\log q}$  and no other poles. There is also a functional equation of the form*

$$\xi_K(1 - s) = q^{a+bs}\xi_K(s)$$

for certain constants  $a, b$ .

Better yet, the analogue of the Riemann hypothesis is a theorem! More on this later.

**Theorem 2** (Weil). *The zeroes of  $\xi_K(s)$  all lie on the line  $\text{Re}(s) = \frac{1}{2}$ .*

For example, if  $C$  is an elliptic curve, then by results of Hasse we have

$$\zeta_K(s) = \frac{(1 - \alpha q^{-s})(1 - \beta q^{-s})}{(1 - q^{-s})(1 - q^{1-s})}$$

for some  $\alpha, \beta \in \mathbb{C}$  which are complex conjugates of each other and have product  $q$ . In general,

$$\zeta_K(s) = \frac{P(q^{-s})}{(1 - q^{-s})(1 - q^{1-s})}$$

where  $P(T) = P_0 + P_1T + \dots + P_{2g}T^{2g}$  is a polynomial with integer coefficients of degree  $2g$ , where  $g$  is the *genus* of the curve,  $P_0 = 1$ ,  $P_{g+i} = q^i P_{g-i}$ , and the complex roots of  $P$  all have absolute value  $q^{1/2}$ .