

**Math 203C (Number Theory), UCSD, spring 2015**  
**More about zeta functions for function fields**

Let  $K$  be a finite extension of  $\mathbb{F}_q(t)$  for some prime power  $q$  in which  $\mathbb{F}_q$  is integrally closed. Previously, we talked about the zeta function of  $K$  and of the associated curve  $C$ , and we stated Weil's theorem that

$$\zeta_C(z) = \frac{P(q^{-s})}{(1 - q^{-s})(1 - q^{1-s})}$$

where  $P(T)$  is a polynomial of degree  $2g$  (for  $g$  the genus of the curve, which is some nonnegative integer) with integer coefficients and complex roots all on the circle  $|T| = q^{1/2}$  (i.e.,  $\operatorname{Re}(s) = 1/2$ ). Moreover, if I write  $P(T) = P_0 + P_1T + \dots$ , then  $P_0 = 1$  and  $P_{g+i} = q^i P_{g-i}$ .

In case you want some concrete examples, take  $C$  to be a *hyperelliptic curve* by taking  $K = \mathbb{F}_q(t)(\sqrt{Q(t)})$  where  $Q(t)$  is a polynomial of degree  $d$  with no repeated roots. Then it can be shown that

$$g = \left\lfloor \frac{d}{2} - 1 \right\rfloor.$$

Or, take  $C$  to be a smooth curve in  $\mathbb{P}^2$  defined by a homogeneous polynomial of degree  $d$ ; then it can be shown that

$$g = \binom{d-1}{2}.$$

Let me now state some more facts about this zeta function. For each positive integer  $n$ , we have

$$\#C(\mathbb{F}_{q^n}) = q^n + 1 - \alpha_1^n - \dots - \alpha_{2g}^n;$$

in fact this can be deduced from the Riemann-Roch theorem, which implies that for  $d \geq 2g - 1$ , the number of effective divisors of degree  $d$  on  $C$  is  $(q^{d+1-g} - 1)/(q - 1)$ . (In fact this is the usual way to prove the rationality of  $\zeta_C$ .) In particular, for  $n = 1$  we get the *Weil bounds*

$$q + 1 - 2g\sqrt{q} \leq \#C(\mathbb{F}_q) \leq q + 1 + 2g\sqrt{q}.$$

For example, for  $g = 1$  we get  $\#C(\mathbb{F}_q) = (\sqrt{q} - 1)^2 > 0$ , so every genus 1 curve over  $\mathbb{F}_q$  has a rational point. This becomes false if we either enlarge  $g$  or stop working over finite fields; however, we can still say that  $\#C(\mathbb{F}_q) > 0$  if  $g$  is not so large compared to  $q$ .

In the other direction, the upper bound is nearly optimal when  $q$  is large compared to  $g$ ; in fact, there are many pairs  $(q, g)$  for which it is achieved. However, when  $q$  is small compared to  $g$  it turns out to be suboptimal; more on this later.

**Theorem 1** (Class number formula). *The order of the class group of  $C$  is  $P(1)$ .*

Here the class group of  $C$  is not quite the same as the class group of  $\mathfrak{o}_K$ : it is the *Picard group* of degree 0 divisors (formal  $\mathbb{Z}$ -linear combinations of closed points) modulo principal

divisors (the ones measuring zeroes and poles of a rational function). For instance, if  $C$  is an elliptic curve, this coincides with the group law on rational points.

Interesting consequence: for  $\alpha_1, \dots, \alpha_{2g}$  the roots of  $P(T)$ , the class number  $h(C)$  satisfies

$$(\sqrt{q} - 1)^{2g} \leq h(C) \leq \left| \prod_{i=1}^{2g} (1 - \alpha_{2g}) \right| \leq (\sqrt{q} + 1)^{2g}.$$

For example, say we want to solve the class number one problem for curves; we can then immediately rule out all cases where  $q > 4$ . Starting from this observation, Leitzel–Madan–Queen solved the problem in the 1970s *except* that they missed a case which was only discovered in 2014! See <http://arxiv.org/pdf/1406.5365v5.pdf>.